

South Somerset District Council Audit Plan Progress 2013/14

APPENDIX B

Audit Type	Audit Title	Quarter	Status	Opinion	No. of recs	Major - Recommendations - Minor				
						5	4	3	2	1
Governance	Boden Mill and Chard Regeneration Scheme Statement of Accounts	Qtr 1	Final	Non Opinion	0	0	0	0	0	0
Governance	Yeovil Crematorium and Cemetery Annual Return	Qtr 1	Final	Non Opinion	0	0	0	0	0	0
Operational	Fleet Workshop and Stores	Qtr 1	Final	Reasonable	5	0	0	4	1	0
Governance	Fighting Fraud Locally	Qtr 2	Final	Reasonable	8	0	0	8	0	0
Governance	Corporate Procurement Cards	Qtr 2	Final	Reasonable	10	0	0	7	3	0
Operational	Town Council Licensing Controls	Qtr 2	Final	Reasonable	13	0	1	11	1	0
Operational	Wincanton Sports Centre Income	Qtr 2	Final	Partial	35	0	8	23	4	0
Governance	Housing Benefits Fraud Prevention	Qtr 2	Final	Reasonable	11	0	0	7	4	0
Follow-Up	Better Contract Management Follow-Up	Qtr 3	Final	Non Opinion	0	0	0	0	0	0
Follow-Up	TEN Risk Management System Follow-Up	Qtr 3	Final	Non Opinion	0	0	0	0	0	0
Follow-Up	Contract Management Standing Orders Compliance Follow-Up	Qtr 3	Final	Non Opinion	0	0	0	0	0	0
IT Audits	Disaster Recovery Planning	Qtr 3	Final	Non Opinion	0	0	0	0	0	0
Operational	Payroll Service	Qtr 3	Final	Substantial	2	0	0	2	0	0
Governance	Unofficial Voluntary Funds	Qtr 3	Final	Non Opinion	0	0	0	0	0	0
Governance	External Collections	Qtr 3	Final	Partial	23	0	2	17	4	0
Governance	S106 Discharging Planning Obligations	Qtr 3	Final	Substantial	0	0	0	0	0	0
Governance	Business Rates - Managing New Risks and Liabilities Theme	Qtr 3	Draft	Substantial	2	0	0	0	2	0
Governance	Debt Management Theme	Qtr 4	Draft	Non Opinion	0	0	0	0	0	0
Governance	Cash Receipting & Bank Reconciliation (& Cash Collection Contract)	Qtr 4	Deferred	n/a	0	0	0	0	0	0
Governance	Asset Management - Leasing Theme	Qtr 4	Draft	Substantial	1	0	0	1	0	0
IT Audits	ICT Strategy	Qtr 4	Final	Reasonable	5	0	0	4	1	0
IT Audits	Event, Incident and Problem Management	Qtr 4	Final	Reasonable	5	0	0	5	0	0
Operational	Careline Income	Qtr 4	Final	Substantial	1	0	0	0	1	0
Operational	Car Parks Income	Qtr 4	Final	Substantial	1	0	0	1	0	0
Operational	Goldenstones / LED	Qtr 4	Final	Reasonable	13	0	0	13	0	0
Operational	Homelessness Prevention Income	Qtr 4	Final	Substantial	0	0	0	0	0	0
Operational	Licensing Income	Qtr 4	Final	Substantial	1	0	0	0	1	0
Operational	Octagon Theatre Income	Qtr 4	Final	Reasonable	3	0	0	1	2	0
Operational	Plant Nursery Income	Qtr 4	Draft	Reasonable	4	0	0	4	0	0
Governance	Resource Centre - Contract Compliance	Qtr 4	In Progress		0	0	0	0	0	0
Governance	Family Focus Theme	Qtr 4	In Progress		0	0	0	0	0	0
Follow-Up	Wincanton Sports Centre Follow-Up	Qtr 4	Final	Non opinion	0	0	0	0	0	0